## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant(s):   | Michael A. Schultz et al. |   |
|-----------------|---------------------------|---|
| Application No. | 10/043,414                | )<br>)                                  |
| Filed:          | January 10, 2002          | )<br>Group Art Unit: 1712               |
| For:            | WAX-IMPREGNATED TOWELETTE | )<br>)                                  |
| Examiner:       | Jeffrey B. Robertson      | )<br>Attorney Docket No. <u>TWI-105</u> |

## **DECLARATION UNDER RULE 131**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## I, MICHAEL A. SCHULTZ, declare:

- 1. That I am a co-inventor of the invention disclosed and claimed in the above-identified application;
- 2. That I am aware that claims 1, 2, 5-7 and 10-33 have been rejected as unpatentable;
- 3. That the rejection relies, in part, on the teachings of published U.S. Patent Application No. 2002/009873 A1 by Yoshikawa et al. filed on November 16, 2001;
- 4. That prior to November 16, 2001, in the United States of America, I together with Karen P. Glover, had conceived, prepared and successfully tested on an exterior surface of a car a moist, wax-impregnated towelette made of a substantially low-lint fabric and substantially uniformly impregnated with an aqueous silicone-based car wax emulsion that contained silicone oil, an amino functional silicone, a wax, isopropanol, a non-ionic emulsifier, a cationic emulsifier, an antistatic agent, and a silicone polyether wetting agent;
- 5. That Exhibit A hereto is a true copy of a specification sheet, bearing a true date prior to November 16, 2001 but with the date obliterated therefrom, for the low-lint fabric that was used to make the wax-impregnated towelette prior to November 16, 2001, namely a melt-blown polypropylene fabric obtained from Kimberly Clark;
- 6. That Exhibit B is a true copy of a two-page document, bearing true dates prior to November 16, 2001 but with the dates obliterated therefrom, that lists the

chemical ingredients that were used prior to November 16, 2001 to impregnate the towelette, describes the manufacturing procedure for the impregnating mixture of the chemical ingredients, and sets forth the specifications for the impregnating mixture;

- 7. That LAROSTAT 264A shown in Exhibit B, above, is an antistatic agent containing modified soya dimethylethyl ammonium ethosulfate;
- 8. That INTERMEDIATE 419 shown in Exhibit B, above, is an aqueous emulsion that contains polydimethylsiloxane (20 cstk), a silicone graft polymer with UV absorber, non-ionic emulsifiers, preservatives, and an antifoam agent;
- 9. That INTERMEDIATE 406 shown in Exhibit B, above, is an aqueous emulsion that contains paraffin wax containing mineral seal oil, butyl cellosolve solvent, cationic emulsifiers, aminofunctional silicones, polydimethylsiloxanes (350 cstk & 1000 cstk), glacial acetic acid, and a preservative;
- 10. That INTERMEDIATE 302 shown in Exhibit B, above, is a 10-weight percent aqueous emulsion of carnauba wax emulsified with a cationic emulsifier;
- That SILWET L7602 shown in Exhibit B, above, is a silicone polyether wetting agent;
- 12. That SILICONE SF-1488 shown in Exhibit B, above, is a silicone polyether wetting agent;
- 13. That PERFUME FRESH FOREST #18703 shown in Exhibit B, above, is a fragrance; and
  - 14. That BUSAN 77 shown in Exhibit B, above, is a preservative.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Chicago, Illinois July <u>/6</u>, 2003

Michael A. Schultz

| STANDARD SPECIFIC         | CATIONS       |          |  | ISSUED        | PART                 | NO.                        |                   |
|---------------------------|---------------|----------|--|---------------|----------------------|----------------------------|-------------------|
| Page <u>1</u> of <u>1</u> |               | 5655 \   | E WAX, INC.<br>West 73rd Street<br>o, IL 60638 |               |                      | 1-1264-46-0                |                   |
|                           |               |          |  | SUPERCEDES    | REVI                 | SION NO.                   |                   |
| SUBJECT                   |               |          |  |               | 1                    | Usage update               |                   |
| TOWLETTE SUBSTRA          | ATE: T-264    | T.W. C   | AR WIPES                                       |               |                      |                            |                   |
| SEE ALSO                  |               | ·        |  |               |                      |                            | -                 |
| T264S                     | [a]           |          |  |               |                      |                            |                   |
| ITEM                      |               |          | DES  | CRIPTION      |                      |                            | CHANGE=C<br>ADD=A |
| MATERIAL:                 | KIMBERLY-     | CLARK    | RM-3479 MELTBI                                 | OWN SUBSTRA   | TE                   |                            | ADD=A             |
| BASIS WEIGHT:             | 1oz./yd²      |          |  |               |                      |                            |                   |
| DESCRIPTION:              | 1oz./yd² Fll  | NE FIBE  | R, WHITE MELTB                                 | LOWN, UNTREA  | TED, E               | BONDED WITH THE            |                   |
|                           | ERHT BOND     | PATTE    | RN MATERIAL IN                                 | IVENTORY # 10 | 78-00                | -00-00                     |                   |
| SIZE:                     | 7" x 16"      |          |  |               |                      |                            |                   |
|                           |               |          |  |               |                      |                            |                   |
|                           |               |          |  |               |                      |                            |                   |
|                           |               |          |  |               |                      |                            |                   |
| #/CONTAINER:              | 16            |          |  |               |                      |                            |                   |
|                           |               |          |  |               |                      |                            |                   |
|                           |               |          |  |               |                      |                            |                   |
| •                         |               |          | •  |               |                      |                            |                   |
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| DISTRIBUTION:             |               | <b>1</b> | REVISED BY Dat                                 | te            | Document Development | Coordinator                |                   |
| Refer to electronic m     | ail copy list |          | ļ i  | R. WEBER      |                      | Carolyn Jones-Gunter Date: |                   |
| WRITTEN BY                |               |          | DATE /   | APPROVED BY D | ate                  |                            |                   |
| K. SANBORN                |               | •        | 1  | R.WEBER       |                      |                            |                   |

| PRODUCT          | EXPRESS SHINE WAX WIPES | NE WAX   | WIPES                                   | CHEM > PROVAL                     | E 22        | IBM NO.    |       |            | PROD . NO. | · · · · · · · · · · · · |    |
|------------------|-------------------------|----------|---|-----------------------------------|-------------|------------|-------|------------|------------|-------------------------|----|
| PORMULA 5        | 53/2:36/01              |          |   | HK/MS APPROVAL                    | Aller ST    | STOCK NO.  | T-264 | 64         | ISSUED ON  | S<br>O                  |    |
| DATE ISSUED      |                         | DATE     | DATE PREVIOUSLY ISSUED                  | SAFETY APPROVAL                   | 1885 B      | BATCH SIZE | 16,   | 16,000 LBS | BATCH NO.  | ة<br>أ                  | •  |
| REASON FOR ISSUE |                         | RIFY SE  | CLARIFY SPECIFICATION TEST METHODS.     | MFG "APPROVAL                     | 1           | WT./GAL.   | 8.28  | 8          | TANK NO.   | ا                       |    |
| 5                | l                       | CHANGE 1 | NO CHANGE TO FORMULATION.               | FORMULN APPROVAE                  | (C)         |            |       |            |            |                         | Î  |
| IBM: NO.         | æ                       |          | CHEMICAL INGRED                         | NGREDIENT/MATERIAL                | )           | LBS.       | .zo   | GALS. V    | WEIGH BY   | ву снеск                | BY |
| 3-00-03-000      | 13.947                  | 1 COLD   | COLD SOFT WATER                         |                                   | 72          | 15031      | 8     | 1811       |            |                         |    |
| 3-50-28-190      | 3.000                   | 1 ISOP   | ISOPROPYL ALCOHOL/IPA                   |                                   |             | 480        |       |            |            |                         |    |
| 3-62-11-159      | 0.056                   | 1 LARO   | LAROSTAT 264A                           |                                   |             | 8          | 15    |            |            |                         |    |
| 4-00-00-419      | 0.961                   | 1 INTE   | 1 INTERMEDIATE 419 SILICONE 20 EM       | 20 EMULSION FOR T-216 RAPID SHINE | RAPID SHINE | 153        | 12    |            |            |                         |    |
| 4-00-00-406      | 1.500                   | 1 INTE   | INTERMEDIATE 406                        |                                   |             | 240        |       |            |            |                         |    |
| 4-00-00-302      | 0.028                   | 1 INTE   | INTERMEDIATE 302 10% WAX EMULSION       | ON                                |             | 4          | 80    |            |            |                         |    |
| 3-12-19-282      | 0.025                   | 1 SILW   | SILWET L7602                            |                                   |             | 4          |       |            |            |                         |    |
| 3-12-19-284      | 0.250                   | 1 SILI   | 1 SILICONE SF-1488                      |                                   |             | 40         |       |            |            |                         |    |
| 3-27-16-257      | 0.033                   | 1 PERF   | PERFUME FRESH FOREST #18703 (BELLE AIRE | LLE AIRE)                         |             | 5          | 4     |            |            |                         |    |
| 3-31-02-112      | 0.200                   | 1 BUSA   | BUSAN 77                                |                                   |             | 32         |       |            |            |                         |    |
| <b>ं</b> देन्द्र | 100.000                 |          |   |                                   |             | 16000      |       |            |            |                         |    |

PRODUCT

## 

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篇 沙蒙 李穆、小李静子感染一个

|  | TECHNICAL | AREA | FORM | #101 |
|--|-----------|------|------|------|
|--|-----------|------|------|------|

| EXPRESS SHINE WAX  |  |                                |  |  |  |
|--|--|--------------------------------|--|--|--|
| PRODUCT NAME WIPES   | FORMULA NO.  | 53/236/01                      |  |  |  |
| STOCK NO. T-264  | IBM NO.  |                                |  |  |  |
| FLASH POINT (SETA FLASH) 142°F   | DATE ISSUED  |                                |  |  |  |
| CAUTION SHIPPING LABEL KEEP FROM FREEZING  | DATE OF PREVIOUS Rx  | 1                              |  |  |  |
| FILTRATION 50 MICRON! VERY IMPORTANT!!   | REASON FOR ISSUE CLA   |                                |  |  |  |
| FILLING NET WEIGHT 156 ± 9 GRAMS PER UNIT PER CANNISTER  | CHANGES.   |                                |  |  |  |
| FILLING NET VOLUME 5.50 ± 0.32 OUNCES PER UNIT 155.1 ± 8.9 ML  | CHEM APPROVAL  | 3                              |  |  |  |
| CLOSURE TORQUE RANGE N/A   | HK/MS APPROVAL FORMULN APPROVAL QC APPROVAL                  |                                |  |  |  |
|  | <del>-</del> 1   |                                |  |  |  |
| TESTS FILTER SAMPLE PRIOR TO TESTING.  GENERAL APPEARANCE 1/2  | SPECIFICATIONS  EQUAL TO FILTERED STANDARD  NO PARTICULATES. | OFF-WHITE<br>WATER THIN LIQUID |  |  |  |
| WEIGHT PER GALLON A-3  | 8.28 ± 0.17  | (8.11 - 8.45)                  |  |  |  |
| SPECIFIC GRAVITY A-3   | 0.994 ± 0.020  | (0.974 - 1.014)                |  |  |  |
| VISCOSITY A-4  | WATERY   |                                |  |  |  |
| 1 HOUR @ 105°C<br>SOLIDS A-1   | 1.2 ± 0.3  | (0.9 - 1.5)                    |  |  |  |
| pH (AS IS) A-2   | 5.45 ± 0.5   | (4.95 - 5.95)                  |  |  |  |
| PLATE COUNT (BACTERIA) A-54  | ······································                       | ZERO COUNT                     |  |  |  |
| AS PER PROD<br>LIQUID PERFORMANCE USE DIRECTI  |  |                                |  |  |  |
| POURING TEMP. (RANGE) A-23   | AMBIENT  | and Fr.                        |  |  |  |
| PRODUCT MAY BE RELEASED FOR <u>FILTERING</u> AND FILLING INTO TOTES AFTER ALL SPECS EXCEPT PLATE COUNT HAVE BEEN COMPLETED AND ACCEPTED.   |  |                                |  |  |  |
| NOTE!!! IT IS CRITICAL THAT T  | HIS PRODUCT IS FILTERE                                       | D THROUGH                      |  |  |  |
| 50 MICRON BAG FILTER!!! FOR USI  | E IN A WIPE PRODUCT.   |                                |  |  |  |
| NOTE!!! THIS PRODUCT MUST BE I   | MIXED CONTINUOUSLY DUR                                       | ING FILLING!!!                 |  |  |  |
| ·  |  |                                |  |  |  |
| DISTRIBUTION: .DIRECTOR R&D  | .QUALITY CONTROL (2)   |                                |  |  |  |
| LAB FILE  A PROPERTY OF THE PR | . PACKAGING  |                                |  |  |  |
|  |  |                                |  |  |  |